

1 APRIL 2019 TO 30 JUNE 2019

COMPLAINTS AND DISCIPLINE

In the April to June quarter, the FPA received nine new complaints, finalised seven complaints and have 13 ongoing complaints. Of those ongoing complaints, one is subject to preliminary enquiries, six are in the process of investigation where information has been requested from members and/or complainants, four are in the process of finalising the report by the investigating officer to the Conduct Review Commission (CRC), and two matters are currently with the CRC in order to determine if disciplinary proceedings should be commenced against the member.

AUTOMATIC TERMINATIONS

No members were automatically terminated under the FPA Constitution during the quarter.

TPB REFERRALS

The FPA continued to experience an increase in referrals from the Tax Practitioners Board (TPB) in relation to FPA members not renewing their registrations with the TPB on time. FPA members are reminded that under the *Tax Agent Services Act* (TASA), the TPB is required to inform the FPA when a member is subject to disciplinary action by the TPB Board.

11	Complaints ongoing as at 1 April 2019
9	New Complaints
7	Closed Complaints
13	Complaints ongoing as at 30 June 2019
0	Members Suspended
0	Members Expelled (CRC)
0	Members Terminated (Constitution)
0	Other Sanctions (CRC)
1	Referred to Professional Designations Committee for Sanction

ACADEMIC MISCONDUCT

During the quarter, the Professional Designations Committee handed down sanctions to one student in the CFP[®] Certification Program. The student was found to have reproduced work that was not entirely their own work, and were found to have reasonably reproduced work of a former student. A sanction of fail and repeat applied in this instance.

Current and prospective students should familiarise themselves with the CFP Program Handbook and the FPA Disciplinary Regulations 2019 regarding academic misconduct for the sanctions that may apply if academic misconduct is proven.

GUIDANCE AND REASSURANCE

The Professional Accountability team enjoy hearing from you in relation to seeking assistance and guidance. If we are unable to help you, we will likely be able to assist you to find someone who can.

You can contact the team directly by email at: professional.standards@fpa.com.au

