FINANCIAL PLANNING
EDUCATION COUNCIL
FINANCIAL PLANNING ASSOCIATION of AUSTRALIA

FPEC-APPROVED COURSES AND ASIC'S RG146 ACCREDITATION

21 January 2016

FINANCIAL PLANNING EDUCATION COUNCIL
FINANCIAL PLANNING ASSOCIATION of AUSTRALIA

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INTRODUCTION

This document is to assist both licensees and students/graduates to confirm **minimum RG146 compliance** achieved in university degrees recognised by the FPA. It does not represent the requirements of an FPEC approved degree. This document collates statements **from higher education providers**.

Under ASIC's RG146, Tier 1 compliance in a specialist knowledge area requires that a person needs to have been assessed in generic knowledge and skills plus the specialist knowledge required.

Prior to 24 September 2012, the reference source was the ASIC Register. Since that date, ASIC compliance is determined by the training provider. (For vocational education, a Transcript of Competencies or Statement of Attainment is issued and the relevant competency identified.) Higher education providers list completed subject on an academic transcript. The transcript can then be compared to the education provider's mapping.

The ASIC Training Register is still available online but includes this statement:

> "The ASIC Training Register has been placed under review. The register content is valid up to 24 September 2012. For courses completed after that date, you will need to contact individual training providers directly to get information about the courses they provide and if they meet the standards in RG 146."

This document therefore is the information from the individual training providers but in one place together with a current contact person at each education provider.

Beyond the subject listings

The subjects listed here generally represent only a subset of the subjects required in the related FPEC approved degree (minimum of eight subjects mapped to the FPEC requirements). More details can be found under the heading "Approved Degrees" at http://fpa.com.au/education/cfp-certification/

Most, but not all, approved degrees include subjects on taxation and commercial law listed with the TPB. Subjects required for the Tax Practitioners Board (TPB) for a Tax (Financial) Adviser can be found at www.tpb.gov.au.

An exposure draft issued by the Government in December 2015 has announced planned changes to the minimum education standards which will apply to all new advisers from 2017. While the proposed new body will establish the new minimum requirements going forward, the existing RG146 requirements are still current at January 2016.

CHARLES STURT UNIVERSITY: MASTER OF APPLIED FINANCE

The Master of Applied Finance provides formal knowledge, capabilities and competencies in finance and specialised areas of banking or financial planning. The Degree is designed to produce graduates who are skilled and work-ready in their selected discipline or profession. Students who complete the specialisation in financial planning qualify for ASIC RG146 accreditation.

http://www.csu.edu.au/courses/master-of-applied-finance?R38GiEXUwG0CzraZ.99

ASIC area	Subjects required at Charles Sturt University
A1 Generic knowledge	FIN530 OR FIN560
A 2.1 Financial planning	FIN560
A2.2 Securities	FIN530, FIN531
A 2.3 Derivatives	FIN530, FIN531
A2.4 Managed investments	FIN531, FIN560
A 2.5 Superannuation	FIN560, FIN 564
A 2.6 Insurance Life insurance, General insurance, Insurance broking	FIN562
A 2.7 Deposit products and non-cash products	FIN530, FIN560
A 2.8 Foreign exchange	FIN530, FIN531, FIN560, FIN518
A 2.10 Margin lending facilities	FIN560, FIN564, FIN545
SKILLS	FIN560 Financial Planning
CONTACT PERSON re RG146	Dr Dianne McGrath, dmcgrath@csu.edu.au

FIN518 International Financial Management
FIN530 Money & Capital Investments
FIN531 Investment Analysis
FIN560 Financial Planning
FIN562 Risk Management and Insurance
FIN564 Superannuation
LAW545 Taxation Strategies

CURTIN UNIVERSITY: MASTER OF FINANCIAL PLANNING OR BACHELOR PROGRAMS

ASIC area	Subjects required at Curtin University							
	Personal Finance 220 or 520	Finance (Plan Construction) 319 or 519	Personal Risk and Insurance 309 or 509	Superannuation and Retirement Planning 321 or 621	Taxation 301 or 331 or 531	Managerial Finance 212 or Finance Principles 215 or 515	Financial Derivative Securities 312 or 572	International Finance 302 or 573
A 1 Generic knowledge	X					X		
A 2.1 Financial planning	X	X	X		X			
A2.2 Securities						X	X	
A 2.3 Derivatives							X	
A 2.4 Managed investments	X	X			X	X		
A 2.5 Superannuation	X	X		X	X			
A 2.6 Insurance Life insurance, General Insurance and Insurance broking		X	X		X			
A 2.7 Deposit products and non-cash products	X							
A 2.8 Foreign Exchange								X
A 2.10 Margin lending facilities		X						
SKILLS	X	X	X					
CONTACT PERSON re RG146	Elson Goh, E.Goh@cbs.curtin.edu.au							

DEAKIN UNIVERSITY: BACHELOR DEGREES

ASIC area	Subjects required at Deakin University						
	Money and Capital Markets (MAF202)	Financial Planning (MAF255 or MAA255)	Equities and Investment Analysis (MAF307)	Superannuation Planning (MAF311 or MAA317)	Advanced Financial Planning (MAF312 or MAA318)	Building Client Relationships (MAF315 or MAA215) [For students commencing Jan 2015]	Estate Planning (MAF316 or MAA319) [For students commencing Jan 2015]
A1 Generic knowledge	X	X	X	X	X		
A 2.1 Financial planning		X			X		X
A2.2 Securities		X	X		X		
A 2.3 Derivatives			X		X		
A2.4 Managed investments		X	X		X		
A 2.5 Superannuation		X		X	X		
A 2.6 Insurance Life insurance, general insurance & broking		X			X		
A 2.7 Deposit products and non-cash products	X						
A 2.8 Foreign exchange	X						
A 2.10 Margin lending facilities					X		
SKILLS		X			X	X	
CONTACT PERSON re RG146	Dr Adrian Raftery CFP® adrian.raftery@deakin.edu.au						

DEAKIN UNIVERSITY: MASTERS DEGREE

ASIC area	Subjects required at Deakin University							
	Financial Markets (MAF702)	Financial Planning Fundamentals (MAF765)	Investments and Portfolio Management (MAF707)	Superannuation and Retirement Planning (MAF708)	Financial Planning Development (MAF709)	Principles of Risk Management and Insurance (MPS701) [For students commencing Jan 2015]	Managing Client Relationships (MAF14) [For students commencing Jan 2015]	Estate Planning Strategies (MAF715) [For students commencing Jan 2015]
A1 Generic knowledge	X	X	X	X	X			
A 2.1 Financial planning		X			X			X
A 2.2 Securities	X	X	X		X			
A 2.3 Derivatives	X				X			
A 2.4 Managed investments		X	X		X			
A 2.5 Superannuation		X		X	X			
A 2.6 Insurance Life insurance, general insurance & broking		X			X	X		
A 2.7 Deposit products and non-cash products	X							
A 2.8 Foreign exchange	X							
A 2.10 Margin lending facilities		X			X			
SKILLS		X			X		X	
CONTACT PERSON re RG146	Dr Adrian Raftery CFP® adrian.raftery@deakin.edu.au							

GRIFFITH UNIVERSITY: MASTER OF COMMERCE (FINANCIAL PLANNING)

Note: This degree has not been offered to new students since 2014

ASIC area	Subjects required at Griffith University
A 1 Generic knowledge	7232AFE Investment Analysis & 7303AFE Economics
A 2.1 Financial planning	7202AFE Financial Planning, 7203AFE Corporate Financial Risk Management & 7232AFE Investment Analysis
A 2.2 Securities	7202AFE Financial Planning, 7203AFE Corporate Financial Risk Management & 7232AFE Investment Analysis
A 2.3 Derivatives	7108AFE Taxation Planning, 7202AFE Financial Planning, 7203AFE Corporate Financial Risk Management & 7232AFE Investment Analysis
A 2.4 Managed investments	7202AFE Financial Planning & 7232AFE Investment Analysis
A 2.5 Superannuation	7202AFE Financial Planning & 7214AFE Retirement and Estate Planning
A 2.6 Insurance Life insurance, General insurance, Insurance broking	7202AFE Financial Planning & 7203AFE Corporate Financial Risk Management
A 2.7 Deposit products and non-cash products	7202AFE Financial Planning
A 2.8 Foreign exchange	7303AFE Economics
A 2.10 Margin lending facilities	N/A
SKILLS	7202AFE Financial Planning & 7228AFE Financial Planning Construction and Review
CONTACT PERSON re RG146	Rakesh Gupta, r.gupta@griffith.edu.au

GRIFFITH UNIVERSITY: MASTER OF FINANCIAL PLANNING

This qualification commenced from Semester 2 2014.

Note that the subjects shaded in grey add to the content shown in the first four subjects. The Graduate Certificate, comprising these four subjects, covers all the ASIC areas in full with the exception of Margin Lending which also requires study of 7255AFE/7820GBS.

ASIC area	Subjects required at Griffith University						
	7254 AFE/7818GBS Financial Planning Fundamentals	7223 AFE /7803GBS Financial Markets	7106AFE I/7817GBS Income Tax Law	7256AFE /7819GBS Personal Risk Management	7255 AFE/7820GBS Applied Financial Planning	7214 AFE /7821GBS Retirement and Estate Planning	7232AFE /7801GBS Investments
A 1 Generic knowledge	X	X		X	X		
A 2.1 Financial planning	X	X	X	X	X		
A 2.2 Securities	X	X	X				X
A 2.3 Derivatives	X	X					X
A 2.4 Managed investments	X	X			X		X
A 2.5 Superannuation	X				X	X	
A 2.6 Insurance Life insurance, General insurance, Insurance broking	X			X	X		
A 2.7 Deposit products and non-cash products	X	X					
A 2.8 Foreign exchange	X	X	X				X
A 2.10 Margin lending facilities	X				X		
SKILLS	X			X	X	X	
CONTACT PERSON re RG146	Rakesh Gupta, r.gupta@griffith.edu.au						

GRIFFITH UNIVERSITY: BACHELOR DEGREES

Bachelor of Commerce (Financial Planning)

Bachelor of Commerce (Professional) with a major in Financial Planning

Bachelor of Commerce (Accelerated) with a major in Financial Planning

ASIC area	Subjects required at Griffith University						
	1202AFE Financial Planning 1	2213AFE Financial Planning 2	2202AFE Risk Management and Insurance	1203AFE Money, Banking and Finance	1303AFE Economics for Decision Making 1	3204AFE Retirement and Estate Planning	3106AFE Revenue Law
A 1 Generic knowledge	X				X		
A 2.1 Financial planning	X						
A2.2 Securities	X	X		X			
A 2.3 Derivatives	X	X					X
A 2.4 Managed investments	X	X					X
A 2.5 Superannuation	X					X	X
A 2.6 Insurance Life insurance, General insurance, Insurance broking	X		X	X			
A 2.7 Deposit products and non-cash products	X			X			
A 2.8 Foreign exchange	X	X		X			X
A 2.10 Margin lending facilities		X					
SKILLS	X	X					
CONTACT PERSON re RG146	Rakesh Gupta, r.gupta@griffith.edu.au						

Alternate subject codes are: 1202AFE/1282AFE; 2213AFE/2280AFE; 2202AFE/2282AFE

1203AFE/1302AFE; 1303AFE/1383AFE; 3204AFE/3283AFE.

KAPLAN PROFESSIONAL: MASTER OF FINANCIAL PLANNING

On completion of the Graduate Diploma of Financial Planning, ASIC RG 146 compliance is gained in the following areas:

- Generic knowledge
- Specialist knowledge and skills in: Financial Planning, Insurance, Superannuation, Managed Investments and Securities.

The Graduate Diploma of Financial Planning includes the following subjects:

- FPC001 Economic, Legal and Ethical Context for Financial Planning
- FPC002 Applied Financial Planning
- FPC003 Superannuation and Retirement Advice
- FPC004 Insurance Advice
- FPC005 Estate and Succession Planning
- FPC006 Tax Considerations in Financial Advice
- FPC007 Client Engagement Skills
- FPC008 Investment Management Fund Manager Perspective

Kaplan advises that RG146 compliance will be available upon completion of the Graduate Certificate in Financial Planning in 2016.

Contact: Ms Sue-Ann Stanford, Academic Director, sue-ann.stanford@kaplan.edu.au

LA TROBE UNIVERSITY: BACHELOR OF BUSINESS

ASIC area	Subjects required at La Trobe University							
	Business Economics (BUS1BUE)	Introduction to Financial Planning (FIN2IFP)	Fundamentals of Finance (FIN1FOF)	Insurance and Risk Planning (FIN2IRP)	Principles of Retirement and Estate Planning (FIN3PRE)	Taxation (ACC3TAX)	Case Studies in Financial Planning (FIN3CFP)	Investment and Portfolio Management (FIN3IPM)
A1 Generic knowledge	X	X	X					
A 2.1 Financial planning		X			X	X	X	
A 2.2 Securities		X	X			X		X
A 2.3 Derivatives			X	X				X
A 2.4 Managed investments		X	X			X	X	X
A 2.5 Superannuation		X			X	X	X	
A 2.6 Insurance		X		X			X	
A 2.7 Deposit products and non-cash products		X	X					X
A 2.8 Foreign exchange			X					X
A 2.10 Margin lending facilities						X	X	
SKILLS							X	
CONTACT PERSON re RG146	Darren Henry, d.henry@latrobe.edu.au							

RMIT UNIVERSITY: BACHELOR OF BUSINESS (FINANCIAL PLANNING)

Completion of the RMIT University's Bachelor of Business (Financial Planning) core and specialised courses will permit compliance with the requirements of RG146, in both the 'generic' and 'specialised' areas and skills requirements.

ASIC area	Subjects required at RMIT University									
	LAW2453 Taxation 1	BAFI1008 Business Finance	BAFI1002 Financial Markets	BAFI1014 Personal Wealth Management	BAFI1042 Investment	ACCT2285 Wealth Creation & Estate Planning	LAW2457 Law of Investment & Financial Markets	ACCT2286 Superannuation & Retirement	ACCT2288 Financial Advisory Practice	ACCT2287 Risk, Insurance and Social Security
A1 Generic knowledge	X	X	X	X	X					
A 2.1 Financial planning	X			X		X	X	X	X	
A2.2 Securities		X	X	X	X		X			
A 2.3 Derivatives			X	X	X		X			
A2.4 Managed investments	X			X	X	X	X			
A 2.5 Superannuation				X			X	X		
A 2.6 Insurance	X			X			X			X
A 2.7 Deposit products and non-cash products			X	X			X			
A 2.8 Foreign exchange	X	X	X	X			X			
A 2.10 Margin lending facilities				X	X	X	X			
A 2.11 Regulated emissions trading				X		X				
SKILLS				X		X		X	X	X
CONTACT PERSON re RG146	Assoc. Prof Abdullahi Ahmed, abdullahi.ahmed@rmit.edu.au									

RMIT UNIVERSITY: BACHELOR OF BUSINESS (FINANCIAL PLANNING) / BACHELOR OF BUSINESS (ACCOUNTANCY)

Completion of the RMIT University's Bachelor of Business (Financial Planning) / Bachelor of Business (Accountancy) complies with the requirements of RG!46, in both the 'generic' and 'specialised' areas and skills requirements.

ASIC area	Subjects required at RMIT University									
	LAW2453 Taxation	BAFI1008 Business Finance	BAFI1002 Financial Markets	BAFI1014 Personal Wealth Management	BAFI1042 Investment	ACCT2285 Wealth Creation & Estate Planning	LAW2457 Law of Investment & Financial Markets	ACCT2286 Superannuation & Retirement	ACCT2288 Financial Advisory Practice	ACCT2287 Risk, Insurance and Social Security
A1 Generic knowledge	X	X	X	X	X					
A 2.1 Financial planning	X			X		X	X	X	X	
A2.2 Securities		X	X	X	X		X			
A 2.3 Derivatives			X	X	X		X			
A2.4 Managed investments	X			X	X	X	X			
A 2.5 Superannuation				X			X	X		
A 2.6 Insurance	X			X			X			X
A 2.7 Deposit products and non-cash products			X	X			X			
A 2.8 Foreign exchange	X	X	X	X			X			
A 2.10 Margin lending facilities				X	X	X	X			
A 2.11 Regulated emissions trading				X		X				
SKILLS				X		X		X	X	X
CONTACT PERSON re RG146	Assoc. Prof Abdullahi Ahmed, abdullahi.ahmed@rmit.edu.au									

RMIT UNIVERSITY: BACHELOR OF BUSINESS (FINANCIAL PLANNING) VIA OPEN UNIVERSITIES AUSTRALIA

Completion of the RMIT University's Bachelor of Business (Financial Planning) via Open Universities Australia complies with the requirements of RG!46, in both the 'generic' and 'specialised' areas and skills requirements.

ASIC area	Subjects required at RMIT University									
	BLW32 Introduction to Australian Tax Law or LAW2456 Taxation*	BAN23 Introduction to Finance or BAFI3183 Business finance**	FNP12/BAFI2112 Financial Markets	FNP11 / BAFI2040 Personal Wealth Management	FNP32 / BAFI2042 Investment	FNP31 / ACCT2261 Wealth Creation & Estate Planning	FNP22 / LAW2458 Law of Investment & Financial Markets	FNP21 / ACCT2262 Superannuation & Retirement	FNP34 / ACCT2264 Financial Advisory Practice	FNP23 / ACCT2263 Risk, Insurance and Social Security
A1 Generic knowledge	X	X	X	X	X					
A 2.1 Financial planning	X			X		X	X	X	X	
A2.2 Securities		X	X	X	X		X			
A 2.3 Derivatives			X	X	X		X			
A2.4 Managed investments	X			X	X	X	X			
A 2.5 Superannuation				X			X	X		
A 2.6 Insurance	X			X			X			X
A 2.7 Deposit products and non-cash products			X	X			X			
A 2.8 Foreign exchange	X	X	X	X			X			
A 2.10 Margin lending facilities				X	X	X	X			
A 2.11 Regulated emissions trading				X		X				
SKILLS				X		X		X	X	X
CONTACT PERSON re RG146	Assoc. Prof Abdullahi Ahmed, abdullahi.ahmed@rmit.edu.au									

* Students complete Introduction to Australian Tax Law from Curtin University, RMIT credit for LAW2453 Taxation 1

** Students complete Introduction to Finance Principles from Curtin University, RMIT credit for BAFI1008 Business Finance

TAFE NSW: BACHELOR OF APPLIED FINANCE (FINANCIAL PLANNING)

ASIC area	Subjects required at St GEORGE TAFE
A1 Generic knowledge	AFFIN101A, AFREG101A, AFFIN102A
A 2.1 Financial planning	AFREG101A, AFPLN101A, AFPLN203A
A2.2 Securities	AFFIN101A, AFREG101A,AFPLN203A
A 2.3 Derivatives	AFFIN101A, AFREG101A, AFPLN203A
A2.4 Managed investments	AFFIN101A, AFREG101A, AFPLN203A
A 2.5 Superannuation	AFPLN204A, AFREG101A Additional for SMSF: AFPLN303A
A 2.6 Insurance Life insurance, General insurance and Insurance Broking	AFREG101A, AFPLN201A,
A 2.7 Deposit products and non-cash products	AFREG101A, AFFIN101A
A 2.8 Foreign exchange	AFPLN303A, AFPLN203A, AFREG101A, AFFIN101A
A 2.10 Margin lending facilities	AFREG101A, AFPLN303A, AFPLN203A
SKILLS	Integrated across the subjects listed
CONTACT PERSON re RG146	Diana Bugarcic, diana.bugarcic@tafensw.edu.au

- AFFIN101A Principles of Finance and Investment
- AFFREG101A Regulation and legal principles in Practice
- AFPLN101A Fundamentals of personal Financial Planning
- AFFIN102A Principles of Economics and Economic Theory in Practice
- AFPLN201A Insurance Planning for Personal Risk Management
- AFPLN203A Investment Management and Portfolio Analysis
- AFPLN204A Retirement and Superannuation Planning
- AFPLN303A Contemporary Financial Planning Strategies

UNIVERSITY OF CANBERRA: BACHELOR DEGREES

Bachelor of Commerce (Financial Planning major)

Bachelor of Finance (Financial Planning major)

ASIC area	Subjects required at University of Canberra							
	6386 Financial Institutions and Markets	**6392 Business Finance**	**6563 Introduction to Financial Planning OR** 9987 Introduction to Personal Financial Planning	**6378 Investments**	**6564 Strategic Financial Planning OR** 9988 Superannuation, retirement and estate planning	**6561 Advanced Financial Planning OR** 9986 Financial Planning and Risk Management	**6417 Revenue Law**	**7371 Strategic Management**
A1 Generic knowledge	X		X	X			X	X
A 2.1 Financial planning		X	X	X	X	X	X	
A 2.2 Securities	X	X	X	X		X		
A 2.3 Derivatives	X		X	X	X	X	X	
A 2.4 Managed investments		X	X	X	X	X	X	
A 2.5 Superannuation			X		X	X	X	
A 2.6 Insurance Life insurance, general insurance and broking	X		X		X	X	X	
A 2.7 Deposit products and non-cash products	X		X		X	X		X
A 2.8 Foreign exchange			X		X	X		X
A 2.10 Margin lending facilities			X			X		
SKILLS			X	X		X		
CONTACT PERSON re RG146	Prof Milind Sathye, milind.sathye@canberra.edu.au							

UNIVERSITY OF NEW ENGLAND: BACHELOR DEGREES

ASIC area	Subjects required at UNE
A1 Generic knowledge	FIN101 Introductory Finance (covers all) Also covered by: FIN200 Financial Planning and Wealth Management; FIN303 Investment Management in Financial Planning
A 2.1 Financial planning	FIN200 Financial Planning and Wealth Management; FIN303 Investment Management in Financial Planning
A2.2 Securities	FIN303 Investment Management in Financial Planning Also covered by: FIN101 Introductory Finance; FIN200 Financial Planning and Wealth Management
A 2.3 Derivatives	FIN303 Investment Management in Financial Planning Also covered by: FIN101 Introductory Finance; FIN200 Financial Planning and Wealth Management
A2.4 Managed investments	FIN303 Investment Management in Financial Planning
A 2.5 Superannuation	FIN302 Superannuation and Retirement
A 2.6 Insurance Life insurance, general insurance and broking	FIN304 Risk Management and Insurance
A 2.7 Deposit products and non-cash products	FIN200 Financial Planning and Wealth Management and FIN101 Introductory Finance
A 2.8 Foreign exchange	FIN303 Investment Management in Financial Planning Also covered by: AFM231 Corporate Finance
A 2.10 Margin lending facilities	FIN303 Investment Management in Financial Planning
SKILLS	FIN305 Developing the Financial Plan FIN200 Financial Planning and Wealth Management
CONTACT PERSON re RG146	Assoc. Prof Martin Hovey, mhovey@une.edu.au

In summary, the following five subjects cover the ASIC areas as shown above:

- FIN101 Introductory Finance (covers all)
- FIN200 Financial Planning and Wealth Management
- FIN302-Superannuation and Retirement
- FIN303 Investment Management in Financial Planning
- FIN304-Risk Management and Insurance
- FIN305-Developing the Financial Plan

UNIVERSITY OF NEW ENGLAND: MASTER OF FINANCIAL SERVICES

ASIC area	Subjects required at UNE
A1 Generic knowledge	AFM465 Financial Planning and Wealth Management AFM468 Investment Management in Financial Planning
A 2.1 Financial planning A2.2 Securities A 2.3 Derivatives A2.4 Managed investments	AFM465 Financial Planning and Wealth Management AFM468 Investment Management in Financial Planning
A 2.5 Superannuation	AFM467 Superannuation and Retirement
A 2.6 Insurance	AFM466 Risk Management and Insurance
A 2.7 Deposit products and non-cash products	
A 2.8 Foreign exchange	AFM465 Financial Planning and Wealth Management AFM468 Investment Management in Financial Planning
A 2.10 Margin lending facilities	AFM465 Financial Planning and Wealth Management AFM468 Investment Management in Financial Planning
SKILLS	AFM569 Developing the Financial Plan
CONTACT PERSON re RG146	Assoc. Prof Martin Hovey, mhovey@une.edu.au

In summary, the following five subjects cover the ASIC areas as shown above:

- AFM465 Financial Planning and Wealth Management
- AFM466 Risk Management and Insurance
- AFM467 Superannuation and Retirement
- AFM468 Investment Management in Financial Planning
- AFM569 Developing the Financial Plan

UNIVERSITY OF NEW SOUTH WALES, SCHOOL OF BANKING AND FINANCE

Note: UNSW's Master degree is an FPEC approved degree. UNSW does not have a Bachelor degree on the FPEC approved list.

Students and graduates in a Bachelor or Master program with a Finance Major (B.Com, B.Econ, M.Com) or in a post-graduate Financial Planning program may combine their undergraduate courses with post-graduate courses to complete their RG146 Tier 1 Generic and Specialist education requirements as long as they have completed at least one of the two courses listed in each of the following four columns. Course codes show the undergraduate course/postgraduate. The list below is prepared on the basis all four courses studied and competently completed at UNSW in order to claim compliance.

	Subjects required at UNSW			
ASIC area	FINS1612/5512	FINS2624/5513	FINS2643/5510	FINS3637/5537
A1 Generic knowledge	X	X	X	X
A 2.1 Financial planning			X	X
A2.2 Securities	X	X		
A 2.3 Derivatives	X	X	X	
A2.4 Managed investments	X	X	X	X
A 2.5 Superannuation			X	X
A 2.6 Insurance Life insurance, general insurance & broking			X	X
A 2.7 Deposit products and non-cash products	X		X	
A 2.8 Foreign exchange	X			
A 2.10 Margin lending facilities	X		X	X
SKILLS			X	X
CONTACT PERSON re RG146	Assoc. Prof. Kingsley Fong, k.fong@unsw.edu.au			

UNIVERSITY OF THE SUNSHINE COAST: BACHELOR DEGREES

Bachelor of Commerce (Financial Planning)

Bachelor of Commerce

ASIC area	Subjects required at USC					
	FIN310 Personal Investment Management	FIN210 Introduction to Financial Planning	FIN220 Retirement and Superannuation	FIN221 Insurance and Risk Management	FIN321 Financial Plan Construction	ACC311 Taxation Law and Practice
A1 Generic knowledge	X	X				
A 2.1 Financial planning	X	X				X
A2.2 Securities	X	X				
A 2.3 Derivatives	X	X				
A2.4 Managed investments	X	X				X
A 2.5 Superannuation		X	X			
A 2.6 Insurance		X		X		
SKILLS					X	
CONTACT PERSON re RG146	Dr Gabrielle Parle Gabrielle.parle@usc.edu.au					

WESTERN SYDNEY UNIVERSITY: BACHELOR OF ACCOUNTING

Bachelor of Accounting
Western Sydney University Course Code: 2783
Alignment with RG146 Requirements

Completion of the Bachelor of Accounting core and financial planning specialisation will cover the RG146 generic and specialist knowledge requirements and skills requirements, as they currently stand.

The following table outlines units associated with RG146 requirements, for students entering the Bachelor of Accounting from 1 January 2016. Please note, it is not compulsory to complete the taxation specialisation, however students are encouraged to complete these units as content builds on that covered in the financial planning specialisation.

RG146 Requirements		200272 Insurance Adv – Theory& Practice	200265 Personal Asset Management	200627 Financial Planning	200899 Retirement & Succession Planning	200186 Advanced Taxation Law	200900 Self-Managed Super &Trusts	200271 Financial Services Law
		Financial Planning						
					Taxation			
		Financial Planning and Taxation						
RG146 Generic Knowledge Requirements	The Economic Environment			X				
	Operation of Financial Markets			X				
	Financial Products	X	X	X				X
RG146 Specialist Knowledge Requirements	Financial Planning	X	X	X	X		X	
	Securities		X					
	Derivatives		X					
	Managed Investments	X	X	X	X	X		X
	Superannuation			X	X	X	X	
	Insurance – general, life and broking	X		X				
	Deposit Products and non-cash payment products		X					
	Foreign Exchange		X					
	Margin Lending Facilities		X					
	Regulated Emissions Units		X					
RG146 Skill Requirements	Establish relationship with client	X	X	X	X			
	Identify client objectives, needs and financial situation	X	X	X	X			
	Analyse client objectives, needs and financial situation	X	X	X	X		X	
	Develop appropriate strategies and solutions	X	X	X	X		X	
	Present appropriate strategies and solutions to the client	X	X	X	X		X	
	Negotiate financial plan/policy/transaction	X	X	X	X			
	Complete and maintain necessary documentation	X	X	X	X			
	Provide ongoing service (optional at discretion of client)			X				

RG146 Contact: Derek Gleeson, derek.gleeson@westernsydney.edu.au

WESTERN SYDNEY UNIVERSITY: BACHELOR OF FINANCIAL ADVISING

Western Sydney University Course Code: 2727. This table shows the Financial Planning Stream.

RG146 Requirements		200525 Principles of Economics	200187 Taxation Law	200271 Financial Services Law	200627 Financial Planning	200186 Advanced Taxation Law	200272 Insurance Adv - Theory & Practice	200265 Personal Asset Management	200625 Estate & Succession Planning	200569 Retirement Planning
		From core units					Financial Planning Stream			
RG146 Generic Knowledge Requirements	The Economic Environment	X			X					
	Operation of Financial Markets	X			X					
	Financial Products			X	X					
RG146 Specialist Knowledge Requirements	Financial Planning			X	X	X	X	X	X	X
	Securities				X			X		
	Derivatives				X			X		
	Managed Investments				X			X	X	X
	Superannuation				X				X	X
	Insurance - general, life and broking				X			X		
	Deposit products and non-cash payment products							X		
	Foreign exchange							X		
	Margin Lending Facilities				X			X		
	Regulated Emissions Units							X		
RG146 Skill Requirements	Establish relationship with client		X		X	X	X	X	X	X
	Identify client objectives, needs and financial situation		X		X	X	X	X	X	X
	Analyse client objectives, needs and financial situation		X		X	X	X	X	X	X
	Develop appropriate strategies and solutions		X		X	X	X	X	X	X
	Present appropriate strategies and solutions		X		X	X	X	X	X	X
	Negotiate financial plan/policy/transaction				X					
	Complete and maintain necessary documentation				X					
	Provide ongoing service				X					

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WESTERN SYDNEY UNIVERSITY: MASTER OF FINANCIAL PLANNING

This degree was launched in 2015 and was initially called Master of Commerce (Financial Planning). (See next entry.)

RG146 Requirements		200817 Business Communications Skills	200866 Principles of Financial Planning	200868 Investment Planning	200432 Commercial Law	200867 Superannuation	200871 Planning for Retirement	200870 Insurance & Risk Management	200869 Principles of Taxation	51168 Funds Management & Portfolio	200872 Contemporary Issues in Taxation	200829 Business Project *or* 200830 Internship*
RG146 Generic Knowledge Requirements	The Economic Environment		X			X	X		X	X	X	X
	Operation of Financial Markets			X				X		X		X
	Financial Products			X		X	X	X	X	X		X
RG146 Specialist Knowledge Requirements	Financial Planning		X	X		X	X	X	X	X	X	X
	Securities		X	X	X	X	X	X	X	X	X	X
	Derivatives		X	X	X					X		X
	Managed Investments		X						X	X		X
	Superannuation					X	X		X		X	X
	Insurance – general, life and broking		X				X		X		X	X
	Deposit Products and non-cash payment products			X						X		X
	Foreign Exchange									X		X
	Margin Lending Facilities			X					X	X		X
	Regulated Emissions Units									X	X	X
RG146 Skill Requirements	Establish relationship with client	X	X									X
	Identify client objectives, needs and financial situation	X	X									X
	Analyse client objectives, needs and financial situation		X									X
	Develop appropriate strategies and solutions		X									X
	Present appropriate strategies and solutions to the client		X									X
	Negotiate financial plan/policy/transaction	X	X									X
	Complete and maintain necessary documentation	X	X									X
	Provide ongoing service (optional at discretion of client)		X									X

*200829 and 200830 are capstone units therefore comprehensively assure learning in all aspects of the course

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WESTERN SYDNEY UNIVERSITY: MASTER OF COMMERCE (FINANCIAL PLANNING)

This listing is for course code 2671. It was replaced by the Master of Financial Planning from 2015 (see prior entry).

RG146 Requirements		CO801A Principles of Financial Planning	CO802A Principles of Investment Planning	CO803A Planning for Retirement	CO804A Insurance & Risk Management	CO805A Estate Planning and Professional Responsibilities	CO806A Current Issues in Financial Planning	AC808A Taxation and Planning and Implications	LW805A Capital Gains Tax
		Core					Alternate		
RG146 Generic Knowledge Requirements	The Economic Environment	x							
	Operation of Financial Markets	x	x						
	Financial Products	x	x						
RG146 Specialist Knowledge Requirements	Financial Planning	x	x	x	x	x	x	x	x
	Securities		x				x	x	x
	Derivatives		x				x		
	Managed Investments		x				x		
	Superannuation			x			x		
	Insurance – general, life and broking				x		x		
	Deposit Products and non-cash payment products		x				x		
	Foreign Exchange		x				x		
	Margin Lending Facilities		x				x		
	Regulated Emissions Units		x				x		
RG146 Skill Requirements	Establish relationship with client	x	x	x	x	x	x	x	
	Identify client objectives, needs and financial situation	x	x	x	x	x	x	x	x
	Analyse client objectives, needs and financial situation	x	x	x	x	x	x	x	x
	Develop appropriate strategies and solutions	x	x	x	x	x	x	x	x
	Present appropriate strategies and solutions to the client	x.					x		
	Negotiate financial plan/policy/transaction	x					x		
	Complete and maintain necessary documentation	x					x		
	Provide ongoing service (optional at discretion of client)	x					x		

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UNIVERSITY OF WOLLONGONG: BACHELOR OF COMMERCE (FINANCIAL PLANNING)

This degree is an FPEC-approved degree for students who commenced prior to 30 June 2014.

ASIC Area	ACCY228: Tax Planning	FIN223: Investment Analysis	FIN252: Personal Finance	FIN320: Risk and Insurance	FIN323: Portfolio Analysis	FIN328: Retirement and Estate Planning	FIN329: Advanced Financial Planning	ECON101: Macroeconomic Essentials for Business OR ECON100: Economic Essentials for Business	LAW101: Law, Business and Society	MARK101: Marketing Principles	MGMT110/MGNT110: Introduction to Management
General Knowledge		X	X				X	X	X		
Financial Planning	X	X	X	X	X	X	X		X		
Securities	X	X	X		X		X		X		
Derivatives		X	X		X		X				
Managed Investments	X		X	X		X	X				
Superannuation	X		X	X		X	X				
Insurance	X		X	X			X		X		
Insurance (Specialist)			X	X							
Deposit Products and Non-Cash Payment Products	X		X			X	X				
Foreign Exchange	X	X			X				X		
Skills	X		X				X		X	X	X

Contact:

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